



Chapter 3

1

The Neuroanatomy of ASD

2

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INTRODUCTION

5 The neuroanatomy of autism presents us with a diverse
 6 array of findings that are hard to encompass within a
 7 single explanatory framework. What we know about
 8 the neuroanatomy of autism has been derived from
 9 descriptive observations of imaging and tissue sam-
 10 ples, hypotheses derived from neuropsychological
 11 inferences, and measures driven by prior observations,
 12 some of which could not have been predicted by any
 13 existing knowledge of brain–behavior correlation.

14 Out of this sprawling array of investigations has
 15 come evidence of the involvement of the limbic
 16 system, corpus callosum, basal ganglia, thalamus,
 17 cerebral cortex, white matter, cerebellum, brainstem,
 18 and ventricles—in short, pretty much the entire brain.
 19 Moreover, the brain as a whole appears to be affected
 20 in ways that cannot simply be reduced to discrete
 21 impacts on its individual parts. In order to make sense
 22 of these findings, it is important to be sensitive to the
 23 range of assumptions in various study designs and

interpretations, and the capabilities and limitations 24
 of the technologies used to generate the data. This is 25
 particularly important in organizing the brain data 26
 with the intention of making sense of the behav- 27
 ioral features of autism spectrum disorders (ASD). 28
 Consequently, these considerations will be reviewed 29
 in order to bring them to bear on the findings. 30

31 Observing individuals with ASD, we note a range
 32 of features suggesting functions that are some combi-
 33 nation of atypical (extraordinary as well as deficient),
 34 maladaptive (in relation to social norms or comfort-
 35 able ranges of biopsychosocial regulation, or both), and
 36 dysfunctional (functions that cannot be performed as
 37 desired or needed). These features clearly suggest a
 38 role for nervous system function in the development
 39 of autism spectrum disorders. We also find neuroana-
 40 tomical features that are different than those found in
 41 individuals who are not on the autism spectrum. To
 42 understand the anatomy findings and how they may
 43 contribute to functional features, we utilize what we
 44 know about how the brain controls and modulates the



1 functions we perform. This immediately confronts us
 2 with a problem: many of the functions that are so
 3 meticulously described at the psychological level as
 4 atypical in ASD do not have a clearly understood
 5 anatomical basis. Therefore, we cannot simply make
 6 an a priori selection of specific brain regions relevant
 7 to ASD features, dive into the brain, measure those
 8 regions, and come out with the answers. In fact,
 9 this “modular” strategy was dominant earlier in
 10 autism brain research but it was only mildly fruitful, in
 11 that neither initial nor subsequent efforts found
 12 any localized region with a clear abnormality that was
 13 specific to autism, present in everyone with autism,
 14 or present in the same way at different points in devel-
 15 opment or in different cohorts. Instead, unexpected
 16 findings have emerged, such as a tendency toward
 17 increased brain size and widespread alterations in
 18 functional connectivity, that challenge a modular
 19 approach to brain–behavior correlation (Herbert &
 20 Anderson, 2008).

21 The modular approach to brain–behavior correla-
 22 tions and neuroanatomical investigations of autism is
 23 further challenged by the question of how to antici-
 24 pate anatomical findings in a condition with early-life
 25 onset. So much of our knowledge of neuroanatomy is
 26 based on research on the impact of brain lesions
 27 acquired after brain maturation (due to, for example,
 28 injuries or disease processes such as tumors). But how
 29 do we work back in developmental time from our
 30 knowledge of the impact of such lesions on behaviors
 31 in adults or older children to valid or useful inferences
 32 about the origins of the brain underpinnings of these
 33 behaviors? We are far from possessing a detailed
 34 picture of the stages of brain–neurofunction relation-
 35 ships through early development—indeed, our very
 36 capacity to measure such things is just emerging from
 37 its own early infancy. This issue can be framed in
 38 the context of the debate between “nativist” and
 39 “neuroconstructivist” positions regarding develop-
 40 ment (Karmiloff-Smith, 2006). A “nativist” position
 41 would impute the presence of all of the neurofunc-
 42 tional capabilities exercised in childhood and adult-
 43 hood as innate, inborn features, almost as if there
 44 were a little “neurofunctional homunculus” imprinted
 45 on the fetus or the gene. By contrast, a neurocon-
 46 structivist position posits a dynamic interaction of
 47 genes, brain, cognition, and environment, so that
 48 development is a process of constructing emergent
 49 features—interactively building both neurofunctional
 50 capabilities and the underlying neural systems.

51 Investigations into the brain basis of autism can be,
 52 and have been, designed from both nativist and neuro-
 53 reconstructivist perspectives. A nativist study design
 54 might investigate direct correlations between genetic
 55 differences and altered neurocognitive functions, with
 56 the implicit or explicit assumption that functions
 57 emerging in mid-childhood or adulthood have direct
 58 correlates in both genes and brain, and that the genes
 59 and brain components contain these functions from
 60 the start. In a neuroconstructivist framework, the
 61 biological and developmental pathways from genes to
 62 brain development and neurocognitive function are
 63 seen as much more complex and interactive (Morton
 64 & Frith, 1995), so that genes are thought to “code for”
 65 something prior to neurocognitive function, rather
 66 than these functions themselves. This prior “some-
 67 thing” (presumably at the level of protein and molecu-
 68 lar signaling pathways) needs to interact with other
 69 factors in a dynamic and developmental interaction
 70 that creates emergent new capabilities in the brain
 71 and in neurofunctional capability—and creates these
 72 both simultaneously and in relation to each other.
 73 Neuroconstructivist investigators would therefore be
 74 less likely to infer innate capabilities from anatomy or
 75 function described in older individuals, but instead
 76 would look for trajectories of differentiation and matu-
 77 ration beyond the fetal and infant stages that could
 78 lead to what could be observed later in life. These
 79 distinctions are pertinent to the interpretation of
 80 neuroanatomical findings in autism.

81 One can also approach neuroanatomical investiga-
 82 tion from a physical point of view: Can the nature or
 83 localization of the physical changes give any indica-
 84 tion of the timing or characteristics of the agent that
 85 could have caused them? This is an approach much
 86 used in neuroteratology, the study of developmental
 87 brain malformations. But if we apply this approach to
 88 findings in autism brain research, we face a challenge.
 89 Some neurogenetic and neurotoxic syndromes present
 90 us with evidence of striking alterations in brain
 91 development where the features of the brain abnor-
 92 malities are clearly associated with brain developmen-
 93 tal processes known to occur in a certain interval of
 94 time, generally in utero. The time of action and the
 95 molecular targets of the genes, drugs, or toxins that
 96 disturb the brain have been inferred in this manner
 97 for a variety of agents (Slikker & Chang, 1998). But in
 98 ASD, brain changes have a number of features that
 99 in the aggregate make it difficult to use this methodol-
 100 ogy to locate the origin of the changes at one clearly

1 delineated point in development. These include: the
 2 subtlety of most of the observed findings; the distribu-
 3 tion of these findings through many parts of the brain
 4 whose developmental timetables may vary, and; the
 5 involvement of processes at many different biological
 6 levels, so that it is not easy to encompass them all in a
 7 simple model of causation. It appears unlikely that
 8 any single gene, infectious agent, or xenobiotic expo-
 9 sure can uniquely account for the panoply of findings
 10 identified to date.

11 Moreover, most of the anatomical changes identi-
 12 fied to date in ASD, particularly as compared to the
 13 dramatic abnormalities identified in neurogenetic
 14 syndromes of brain malformation, are subtle. Thus
 15 some researchers have held that since the autistic
 16 brain lacks major dysmorphology, it is unlikely to have
 17 suffered significant insult prior to the late gestational
 18 or early postnatal period (Ciaranello, VandenBerg, &
 19 Anders, 1982; Coleman, Romano, Lapham, & Simon,
 20 1985; Raymond, Bauman, & Kemper, 1996).

21 The pervasiveness of neuroanatomical findings
 22 extends not only across the anatomical landscape, but
 23 also across biological levels, with differences from
 24 controls having been documented from genes, pro-
 25 teins, and other molecules, to the hierarchy of “levels
 26 of integration” ranging from subcellular assemblies to
 27 cells, tissues, brain regions, neural systems, and large
 28 brain units such as lobes and hemispheres (Salthe,
 29 1985). This pervasiveness suggests the potential pres-
 30 ence of a complex range of underlying mechanisms.
 31 While a nativist or genetic determinist might assume
 32 that all of the higher-order levels of integration derive
 33 their characteristics from the underlying genetic
 34 blueprint, this is more a belief than a demonstrated
 35 fact; physiological science suggests that the situation is
 36 much more complex and multidirectional (Noble,
 37 2008). If one elaborates the neuroconstructivist posi-
 38 tion biologically, it becomes apparent that feedback
 39 back and forth across levels becomes a potentially
 40 important ongoing modulator of both development
 41 and function. In fact, gene expression can be modu-
 42 lated by both environment (including behavior and
 43 feedbacks to the organism from that behavior) and
 44 endogenous physiology. While the neuroanatomical
 45 literature generally contains investigations of one or
 46 just a few levels at a time, all are active simultaneously
 47 and in fundamental integration with each other.
 48 Thus, for example, higher cognitive functions can be
 49 traced back to the cellular level, and alterations in
 50 each of these levels ought to be related to the other.

51 Observations are generally made at one or a few
 52 levels at a time, but usually then are subjected to inter-
 53 pretation regarding their pertinence to other levels; for
 54 example, a neuropathological or microanatomical
 55 measurement of alterations in a neurotransmitter
 56 receptor is likely to be interpreted as having implica-
 57 tions for behaviors associated with that neurotransmit-
 58 ter, even though careful behavioral assessment could
 59 not have been performed on subjects contributing
 60 postmortem specimens under study. A major challenge
 61 not limited to the field of autism research is validating
 62 such inferences with careful experimental data.

METHODS AND MEASURES: 63 SENSITIVITIES AND CONSTRAINTS 64

65 In addressing this complexity, it is important to be
 66 familiar with the means by which information about
 67 the brain is acquired—not only the methods and sensi-
 68 tivities of the techniques, but also the constraints on
 69 and limitations of what can be detected. Information
 70 about neuroanatomy is collected from living subjects
 71 and from postmortem tissue samples. Currently, the
 72 major methods for investigation of structural neuro-
 73 anatomy in vivo (in living human beings) include
 74 measures of head circumference, ultrasound (USG),
 75 computed tomography (CT), and magnetic resonance
 76 imaging (MRI). With these techniques, we can take
 77 measures of size (area and volume), shape, tissue types
 78 (e.g. gray matter, white matter, cerebrospinal fluid,
 79 bone, blood), density, and tissue integrity. There are
 80 also dynamical measures of anatomy, including perfu-
 81 sion measures (which we will review, since they can
 82 be measured at rest and construed as indices of micro-
 83 anatomy); functional imaging techniques measuring
 84 surrogates of neural activity (such as functional MRI
 85 [fMRI], electroencephalography [EEG], and magne-
 86 toencephalography [MEG], as well as PET [positron
 87 emission tomography] and SPECT [single photon
 88 emission computed tomography]) are for the most
 89 part beyond the scope of this chapter.

90 In neuroanatomical investigations using postmor-
 91 tem tissue specimens, it is possible to report micro-
 92 scopic as well as gross (visible to the eye) measures.
 93 Grossly, one can report weight, size, and shape, as
 94 well as description of injury, atrophy, disease processes
 95 (e.g. bleeding, tumor, or macroscopically visible
 96 inflammation), and disproportion or malformation.
 97 Microscopic investigation opens the possibility of

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1 utilizing stains sensitive to particular cellular charac- 48
 2 teristics; techniques for tracing the trajectories of 49
 3 fibers are available, and quantitative cell counting 50
 4 techniques (known as stereology) are advancing. 51

5 Because so many of the advances in neuroanatomical 52
 6 understanding in autism are both enabled and 53
 7 constrained by the limits of the resolution of the 54
 8 measurement methods employed, the following will 55
 9 review the major methods in a little more detail. 56

10 Methods: Macroscopic and In vivo

11 Volumetric imaging

12 Volumetric imaging, one of the most broadly used 57
 13 methods, can be performed on any type of scan that 58
 14 yields a physical picture of the brain (USG, CT, MRI), 59
 15 but the acquisition method with the greatest spatial 60
 16 resolution is CT, while that with the best contrast reso- 61
 17 lution is MRI. The size of a delineated piece of brain 62
 18 tissue reflects the influence of cell types, cell size, cell 63
 19 density, cell composition, intracellular and extracel-
 20 lular fluid characteristics, density of vasculature, and
 21 extracellular matrix, among other factors (Caviness,
 22 Lange, Makris, Herbert, & Kennedy. 1999). Size can
 23 be measured as the area on a single MRI slice by
 24 tracing the boundaries of a region—a task which can
 25 be accomplished computationally. When CT and
 26 MRI are used to image the whole brain or a large part
 27 of it, they produce a series of slices through the brain.
 28 In MRI, each slice captures a slab of a specific thick-
 29 ness. These slabs can be captured continuously with-
 30 out gaps, or with gaps between the slices. When the
 31 slices are continuous it becomes possible to calculate
 32 the volume of regions that are included in more than
 33 one slice. This is done by deriving the “area” of the
 34 region on each slice, turning it into a volume mea-
 35 surement by multiplying by the slice thickness, and
 36 adding up the volumes of the region on all of the slices
 37 in which that region appears. Limits to resolution are
 38 more prominent when the slice is thicker: since the
 39 surfaces in the brain are highly curved and convo-
 40 luted, the boundaries of a region can cross the thick-
 41 ness of a slice at an oblique angle. The boundaries of
 42 a region in an MRI slice cannot capture these kinds
 43 of angles, but are instead perpendicular to the slice
 44 surfaces. This is potentially also true of each voxel (the
 45 unit volume of measurement in a scan, whose dimen-
 46 sions are specified by the scanning acquisition proto-
 47 col parameters). This leads to a phenomenon called

“partial voluming,” in which a voxel (or region) as
 defined on a scan can contain elements of more than
 one tissue type—that is, it can have gray matter, white
 matter, or even cerebrospinal fluid, yielding a value
 that averages the signal characteristics of the different
 components, and is therefore ambiguous and difficult
 to interpret. As MRI technology has advanced, it has
 become possible to acquire thinner slices so that there
 is less partial voluming, and boundaries are clearer.

Several MRI-based morphometric methods have
 been developed that expand what one can learn about
 contributors to volume. One set of methods gives
 information about tissue; the other set of methods
 goes beyond the limitations of traditional volumetrics
 with regard to surfaces and shapes.

Tissue information.

- 1). Tissue parameter mapping exploits the
 capabilities of certain volumetric imaging acqui-
 sitions by extracting further information from
 signal properties, in order to give indications of
 tissue composition (lipid, water, or protein) pres-
 ent in each voxel, or unit of imaging acquisition.
- 2). Quantitative T2 transverse relaxation time is an
 additional way to identify tissue abnormalities,
 with an increase in time measured largely
 reflecting tissue water.
- 3). Voxel-based morphometry (VBM; Ashburner &
 Friston, 2000) uses a voxel-based comparison
 of local tissue concentration—typically grey
 or white matter—between two groups of sub-
 jects, to generate metrics of gray- and white-
 matter “density.” VBM is distinct from classical
 volumetric or morphometric techniques,
 which deal with regional or total tissue volume,
 because it localizes group differences in a brain
 that has been spatially normalized (aligning
 images from multiple subjects to increase
 validity of across-subject comparisons) against a
 standard template. The method outputs a three-
 dimensional statistical parametric map (SPM)
 showing regions where the concentration or the
 density of the tissue differs significantly between
 the groups.
- 4). Deformation-based morphometry (DBM) is a
 complementary class of methods that analyzes
 the deformations used in the normalization
 process, as opposed to the resulting normalized
 images. These can be used to study differences
 in brain shapes at various scales, or to identify
 differences in relative positions of brain struc-
 tures (Ashburner et al., 1998).

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1 *Surface and shape information.* Partial voluming issues
 2 in volume-based normalizations illustrate the inability
 3 of this method to address adequately the complexity
 4 of the shape and folding of the cerebral cortex, which
 5 is extremely convoluted and quite variable across
 6 individuals, and even between identical twins (Eckert
 7 et al. 2002). Two regions can have the same volume
 8 and still have different shapes—for example, a longer,
 9 thinner region could have the same volume as a
 10 shorter, thicker one. Accurate alignment of sulci is
 11 difficult to achieve using volume-based techniques.
 12 Surface-based measures have been developed to
 13 resolve this problem (Anticevic et al. 2008). Surface-
 14 based analysis involves reconstruction and display
 15 of the cortical surface in multiple representations,
 16 including 3-D formats, 2-D slices, smooth surfaces,
 17 and ellipsoidal, spherical, and flat maps. These visual-
 18 izations are often used to display functional data,
 19 because they are more sensitive and accurate than
 20 volume-based analysis, particularly in detecting neural
 21 activation in buried cortical regions, in analyzing
 22 geometrical and topological relationships, in assessing
 23 structural properties like cortical thickness, and in
 24 generating surface-based atlases (Van Essen, Drury,
 25 Joshi, & Miller, 1998).

26 *Measures derived from raw brain-size data.* There are
 27 several possible types of basic calculations that can be
 28 made with volumetric, tissue quantification, or shape
 29 quantification data. If both the left and right sides of a
 30 region are measured, it becomes possible to calculate
 31 an asymmetry index, allowing the comparison of size
 32 on both the left and right sides and an assessment of
 33 statistical significance of differences. If a measure of
 34 total brain volume is available, it becomes possible to
 35 calculate proportion, and consider such questions as,
 36 is a brain region really larger in autism cases than in
 37 control cases, or is it just larger because the whole
 38 brain is larger? (O'Brien et al., 2006). If quantifica-
 39 tions of several regions are available, it becomes
 40 possible to calculate ratios. This allows one to ask,
 41 for example, whether thalamus volume change is pro-
 42 portional to cortical volume change, or whether there
 43 is a disturbance in proportion that could be reflected
 44 in altered thalamocortical functional relationships.
 45 Another significant question of this type is whether
 46 corpus callosum size has the same ratio to white-matter
 47 size across different groups, or whether this relation-
 48 ship is different between groups. Volumetric and
 49 other tissue-quantification findings can be important

indicators of issues that need further research, whether
 using the same or other methods. For example, a devi-
 ation in thalamocortical or corpus callosum-white
 matter proportion may suggest follow-up studies using
 functional MRI, EEG, or MEG to study the impact
 on brain function and connectivity, looking at thal-
 amocortical dysrhythmia (Llinas, Urbano, Leznik,
 Ramirez, & Marle, 2005) or interhemispheric infor-
 mation transfer (Ringo, Doty, Demeter, & Simard,
 1994).

Diffusion tensor imaging. Diffusion tensor imaging
 (DTI) is often thought of as a way to measure white-
 matter tracts, but it is important to remember that it
 does not really take a direct measurement of them.
 This is due to the nature of what it measures, and the
 limits to resolution in this imaging modality. It may be
 better described as a measure of “white-matter integ-
 rity.” DTI measures restrictions to the free movement
 or diffusion of water in tissue. In a glass of water, the
 molecules are free to diffuse without restriction. This
 is called isotropy: “tropy” refers to direction and “iso”
 refers to the dispersion being the same in all direc-
 tions. But in brain tissue, the properties of the cells in
 which the water molecules exist, or which border
 on water molecules in extracellular tissues, restrict
 the direction of diffusion; here, the water shows
 “anisotropy,” that is, diffusion that is *not* the same in
 all directions. In white-matter tracts, the water move-
 ment appears to be restricted to the direction along
 the tract, because water cannot diffuse across the fatty
 lipids in the myelin that wraps the axons in these
 tracts. Quantitative data generated by DTI include
 measures of apparent diffusion coefficient (ADC) and
 fractional anisotropy (FA). ADC is a measure of diffu-
 sivity, or the degree with which water moves freely
 within the tissue, with high ADC suggesting increase
 in water or decrease in restriction to water motion. FA,
 on the other hand, is a measure of directional coher-
 ence, or the fraction or extent to which water motion
 is restricted in a given part of tissue, with a high FA
 indicating a large amount of restriction of water
 motion. Higher FA indicates more restriction and
 higher ADC less restriction.

DTI has a number of limitations. While DTI
 tractography can generate dramatically stunning
 multicolor pictures of fibers within the brain, these
 figures are of qualitative but not quantitative use. In
 addition, ambiguity arises in DTI imaging in areas
 where white matter tracts cross each other. This crossing

1 is especially prominent in the white matter right under
2 the cerebral cortex, where fibers are going in many
3 different directions. Standard DTI techniques cannot
4 track a specific fiber through an area of crossing fibers
5 and out the other side. However, recent advances in
6 diffusion imaging allow us to resolve these “crossing”
7 and “kissing” fibers.

8 DTI images are constructed from magnetic signals
9 that make contact with the brain tissue from a number
10 of directions. The more directions used, the greater is
11 the resolution of the image. But the price for this
12 increased resolution is a longer scan, which makes it
13 hard to acquire the highest quality images on individu-
14 als who may have difficulty staying still. Recent formi-
15 dable technical advances have considerably shortened
16 the time needed for a high-resolution acquisition, but
17 the application to fully awake children with attentional
18 or neurodevelopmental impairment is still limited.

19 *Magnetic resonance spectroscopy.* Magnetic resonance
20 spectroscopy (MRS) is a way of using MRI to quantify
21 levels of substances in living individuals. To do this,
22 MRS takes advantage of the way that different
23 substances resonate differently in a uniform magnetic
24 field. The substances measured are related to different
25 tissues and physiological processes in the brain, so that
26 the measured increases or decreases in a substance
27 give an indication of underlying cellular or anatomical
28 differences or disease processes.

29 However, MRS has a number of technical limita-
30 tions. Proton magnetic resonance spectroscopy is the
31 variant most commonly used, but other methods exist
32 of potential relevance, particularly phosphorus mag-
33 netic resonance spectroscopy, which can offer insights
34 into brain energy metabolism. Since these other
35 methods often involve very lengthy imaging acquisi-
36 tions, their practical utilization is limited, particularly
37 with children or impaired individuals. Unlike the
38 other MRI techniques discussed above, MRS cannot
39 be performed on the whole brain at once, and a lot of
40 the variability between studies is due to major inconsis-
41 tencies between studies in choice of brain region
42 scanned, and the approach taken to imaging the
43 region in question (not to speak of cohort age ranges).
44 In the method most commonly used, single voxel
45 spectroscopy (SVS), the size of the one voxel imaged
46 is so large that many different types of tissue, and/or a
47 large area of tissue, are included in one measurement.
48 Even so, this method has yielded intriguing insights
49 into autism anatomy, which will be reviewed later in

50 this chapter. When MRS is performed in more power-
51 ful MRI magnetic fields, it is possible to get much
52 greater resolution; however, at the time of this writing,
53 almost all studies have been performed on relatively
54 low-field-strength 1.5 Tesla (1.5T) magnets. More
55 powerful scanners are increasingly available, and
56 this development, along with increased replication of
57 choice of regions and approaches, may further
58 improve the yield of this approach.

Perfusion imaging. Perfusion refers to blood flow in
59 the brain, which has been studied nearly two dozen
60 publications on autism (reviewed later in this
61 chapter). Three major methods of measuring brain
62 perfusion are single photon emission computed
63 tomography (SPECT), positron emission tomography
64 (PET), and arterial spin labeling (ASL). SPECT and
65 PET are both invasive, involving injection of radioac-
66 tive tracers that are detected directly (SPECT) or indi-
67 rectly (PET). PET has higher resolution than SPECT
68 due to its selection of photons for simultaneous arrival
69 at the detection device, but SPECT is cheaper
70 and uses longer-lasting and more readily available
71 radioisotopes. Both of these methods, while valuable,
72 are severely limited in clinical and research applica-
73 bility, particularly in minors, given the need to inject
74 radioactive material. Arterial spin labelling (ASL) is
75 an attractive alternative because it is performed in
76 an MRI scanner without isotopes or contrast. ASL
77 uses endogenous blood water as a contrast agent, by
78 magnetically tagging arterial blood, tracking the decay
79 of the magnetization of the tag as it enters the tissue
80 of interest, and computing perfusion maps by compar-
81 ing images of tagged versus with untagged blood water
82 (Deibler et al., 2008b). ASL is in widespread clinical
83 use in the imaging of tumors, stroke, and other cere-
84 brovascular disorders (Deibler et al., 2008a). Moreover,
85 because it is MRI-based and noninvasive, this tech-
86 nique is potentially widely available. Yet while ASL is
87 being advocated as a tool in psychiatric diagnosis,
88 because of its capacity to make discriminations in a
89 number of psychiatric disorders such as schizophrenia
90 and depression (Theberge, 2008), its use at present
91 has been limited to a small set of research studies, with
92 no studies to date in autism.

94 **Methods: Postmortem and Microscopic**

95 While this review predominantly focuses on in vivo
96 neuroimaging data, neuropathological findings will

1 be included when relevant. Neuropathological studies clearly have the potential for much finer resolution than microscopic imaging, but they also have a range of limitations. Most prominent among them is the great scarcity of brain tissue. Autism is not a fatal condition; deaths in childhood in individuals with autism are mainly from accidents, drowning, suffocation and seizures (Shavelle, Strauss & Pickett, 2001), and are relatively rare. Autopsies are performed much less commonly today than they were in the years preceding neuroimaging, and this contributes to the third problem, which is the difficulty in educating families about the importance of brain donation, and encouraging families to donate brains when they are dealing with the profound grief and massive stress associated with the death of a child (the Autism Tissue Program is one **brain bank** that is addressing this issue).

18 An additional major limitation is unavoidable variability in the postmortem interval, or length of time between death and processing (fixing or freezing) of the brain tissue, which can have many types of impacts on the state of the tissue. In addition, the processing itself can alter cells and regional volumes in a fashion that is not uniform across the brain (Lodin, Mares, Faltin, & Karasek, 1969).

26 Overall, it is exceedingly important to remember that interpreting the significance of neuroanatomical findings in a developmental disorder is extremely complicated. Insofar as one is trying to reconstruct the past from present evidence, one needs to be aware that there might be trajectories other than the ones that easily come to mind that could have led to the present state of the tissue. One's interpretations also need to be mindful of the limitations of the study sample, the depth of characterization of the sample, and the resolution and sensitivity of the instrumentation used.

37 **ANATOMY IN REVIEW**

38 In this next section, autism neuroanatomy will be reviewed within a neuroconstructivist context, and with a sense of the historical development of our understanding as it is influenced by models, by data available at each point in time, and by the growing availability of technologies capable of producing new classes of data. A core theme is the discovery and elucidation of overall brain enlargement in autism. Although the investigations of regional abnormalities in autism predate a concerted attempt to understand

48 brain enlargement in autism, these will be reviewed following the discussion of brain enlargement, so that the insights gained from the general enlargement can be brought to bear on the features noted in specific brain regions. The review will begin with some of the early neuroanatomical observations in autism. It will then move to observations and measures of differences between autistic and control brains at the largest scales, and will trace the exploration of these measures through a range of dimensions and the techniques used to elucidate these dimensions. The review will then turn to measures of a number of regions that have been explored in more detail, and describe the dimensions and techniques of some additional techniques used to study them. Finally, reflections will be shared regarding the linkages between large-scale and regional measures, and directions important to future progress will be shared, particularly those that hold the clearest relevance to clinical evaluation and intervention.

68 **Clinical Imaging**

69 Clinical neuroradiological imaging involves the acquisition of brain images from individual patients (rather than from individuals chosen to be members of a specifically defined cohort) to look for explanations for diseases and symptom complexes, and to seek targets for treatment interventions. Most of the findings in autism neuroanatomy have been generated using quantitative methods, because these findings are generally too subtle to be detected qualitatively by non-computational clinical radiological assessments which for the most part are qualitative rather than quantitative. On the other hand, the findings discerned quantitatively to date are group findings that substantially overlap with findings in non-autistic individuals, rather than pathognomonic findings that can be used for clinical diagnosis. Presently, therefore, while clinical assessment has not proven useful for identifying diagnostic features, research imaging has thus far not had much influence on clinical practice.

88 A number of publications have collected and tabulated clinical neuroradiological observations in autism. A 1983 study observed gross abnormalities in 26% of an autism cohort (Gillberg & Svendsen 1983). A 2006 study of imaging findings in children with autism and developmental delay reported that 15 of the 32 children with autism or pervasive developmental disorders (PDD) showed structural abnormalities

change "brain bank" to "resource"

37: change ANATOMY to ANATOMICAL IMAGING
38: CHANGE "neuroanatomy" to "neuroanatomical imaging"

(Zeegers et al., 2006). A 2009 study found abnormalities in 48% of a cohort of 77 children with non-syndromic autism (Boddaert et al., 2009). However, in all of these clinical studies, the abnormalities that were observed, while common in the aggregate, were quite variable in their nature and distribution. These included white-matter lesions in various locations, reduced corpus callosum size, wide or asymmetrical ventricles, arachnoid cysts, Chiari I malformations, cavum septum pellucidum, and dilated Virchow-Robin spaces. These findings are all nonspecific and do not obviously point to any clear avenues of medical intervention. Consequently, brain imaging is not considered to be an essential component of the medical or neurological evaluation of autism, and tends to be ordered only when additional abnormalities are found that suggest that imaging will assist with clinical management.

Early CT findings: Asymmetries and Ventricular Differences

The earliest macroanatomical studies of autism utilized computerized tomography (CT) scans, a method of using x-ray technology to generate a large series of two-dimensional images along a single axis through tissue, such as brain tissue. What distinguishes these studies from the above clinical studies is that consistent anatomical features were measured across all subjects. As these scans have very limited resolution within the gray and white matter, however, observations were confined to the level of overall size, overall asymmetries, and differences in the size of cerebral ventricles, which are easily discerned in this imaging modality (Gillberg & Svendsen, 1983; Hier, LeMay, & Rosenberger, 1979; Hoshino, Manome, Kaneko, Yashima, & Kumashiro, 1984). Some attempt at quantification was made in these images, but due to the level of resolution, these measures and indices were crude. While the findings in these early CT studies suggest differences in the physical status and/or development of the brain, they are not clear enough to offer etiological or functional implications.

Brain size in autism

One of the most replicated findings in autism brain investigations has been the observation of a tendency toward increased brain size, particularly in younger autistic individuals. Although large head size was

observed by Leo Kanner in his initial paper identifying autism as a syndrome (Kanner, 1943), this observation were initially buried in studies performed for other purposes; eventually its relevance was noticed, and the phenomenon investigated deliberately. The earliest measures were of brain weight. There is some source of artifact in brain weight measures because of variability due to the postmortem interval (time from death to removal and fixation of brain), or cause of death (e.g. an illness that involved swelling might change brain size and weight), but even so a trend toward larger brains was noted. In 1985 Bauman and Kemper observed that 8 out of 11 brains in autistic individuals less than 12 years of age showed a significant increase in weight as compared with controls, but 6 out of 8 of those over 18 years of age weighed less than expected (Bauman & Kemper, 1985). Bailey and colleagues (1998) noted that four of six brains in their sample (one four year old, remainder ages 20–27) were greater than the normal range for brain weight for age.

Head circumference

Head circumference (HC) has been an important measure in documenting head-size trends in autism. Davidovitch, Patterson, and Gartside (1996) reported that 18.2% of a group of 148 brain head circumference measures in autistic individuals were at or above the 98th percentile (though, interestingly, while this 1996 paper showed macrocephaly in an American cohort, a 2009 poster by the same author could not replicate this phenomenon in an Israeli autistic sample [Davidovitch, Golan, Vardi, Lev, & Lerman-Sagie, 2009]). Woodhouse and colleagues (1996) noted that 19.7% of a PDD cohort had macrocephaly and 48.7% had head circumference greater than the 90th percentile. Fidler and colleagues noted more macrocephaly in probands with autism and their first-degree relatives (Fidler, Bailey, & Smalley, 2000). Miles and colleagues (2000) reported increased HC in probands in a range of subgroups based on phenotype, onset, seizure status, and IQ, and also found macrocephaly in at least one parent in 45% cases. Dementieva and colleagues (2005) found macrocephaly in 19% of a cohort of 251 with autism.

MRI

Head circumference measures have made a great contribution to studies of autism, but they have obvious

87: change "45% cases" to "45% of cases"

41: change "implications" to "insight"

1 limits, including not only an inability to address
2 anything specific about the brain, but also potential
3 inaccuracy stemming from inconsistent placement of
4 the tape measure on the skull. Head circumference is
5 insensitive to shape differences, such as wide versus
6 long and narrow or taller heads; but shape may be
7 relevant in autism, where a higher preponderance of
8 wide heads has been noted (Deutsch & Joseph, 2003).
9 Starting in the late 1980s and developing into the
10 early 1990s, the advent of brain imaging utilizing
11 structural MRI emerged and allowed a new kind of
12 measure to contribute to our knowledge of brain size
13 in autism. Filipek and colleagues (1992) reported on
14 brain volumes in a cohort of 92 children with high-
15 functioning autism (HFA, IQ > 80), developmental
16 language disorder (DLD, IQ > 80), low-functioning
17 autism (LFA, IQ < 80), and non-autistic low IQ
18 (NALIQ, IQ < 80), as well as controls (CTRL). They
19 found that for total brain volume, HFA > LFA = DLD
20 > CTRL > NALIQ. Large brain size was also reported
21 earlier on by several other research teams (Courchesne
22 et al., 2001; Deutsch & Joseph 2003; Piven, Arndt,
23 Bailey, & Andreasen, 1996; Sparks et al., 2002). By now,
24 structural MRI measures have documented increased
25 total brain volume multiple times; an excellent
26 meta-analysis of these findings has been performed by
27 Stanfield and colleagues (2007).

28 *When does brain size increase occur?*

29 Lainhart and colleagues (2003) generated longitudi-
30 nal data by performing a retrospective study of head
31 circumference of infants later diagnosed with autism.
32 This brought to light the issue of a postnatal-head-size
33 developmental trajectory. Lainhart found that most of
34 the macrocephalic autistic subjects did not manifest
35 macrocephaly at birth, but developed it during early
36 childhood, as they manifested accelerated increase
37 in head size during this period. This finding has
38 since been replicated multiple times. Courchesne
39 and colleagues (2003) performed a retrospective study
40 of head circumference in children diagnosed with
41 autism as compared with HC norms from the Centers
42 for Disease Control (CDC) and found that, while
43 mean head circumference at birth was at the 30th
44 percentile in this group (as compared with Lainhart's
45 group, where it was in the normal range), it increased
46 2 standard deviations in the first year and a half of life.
47 Mraz and colleagues (2007) replicated this trajectory,
48 finding a significantly smaller head circumference at

birth to two weeks, and a significantly larger head 49
circumference by 10–14 months of age, although 50
when overall length and weight were controlled for, 51
this difference disappeared. This group also found 52
that children with an early history of autism who 53
subsequently moved off the autism spectrum showed 54
the same head circumference trajectory as did the 55
stable autism group (Mraz, Dixon, Dumont-Mathieu,
& Fein, 2009). Lainhart's group subsequently per- 56
formed a retrospective study of fetal ultrasounds in 57
children who had later been diagnosed with autism, and 58
did not find abnormalities of fetal head circumference 59
in autism (Hobbs et al. 2007). Hazlett and colleagues 60
(2005) found enlargement of head circumference 61
beginning at about 12 months of age. Of the 79 indi- 62
viduals in Dementieva's sample (2005) for which two 63
consecutive HC measures were available (not neces- 64
sarily starting at birth), 35% had accelerated head 65
growth between the two available measures, while 66
the remainder did not. Of the 37 of these individuals 67
whose consecutive HC measures began at birth, 65% 68
showed abnormal head growth starting at birth. 69
70

A number of researchers have been able to use 71
volumetric MRI to document brain volume in small 72
children. Courchesne and colleagues reported 73
increased brain volume in 2–4-year-olds, with 90% 74
above average and 37% meeting criteria for develop- 75
mental macrocephaly (Courchesne et al., 2001). 76
Hazlett and colleagues (2005) also found significantly 77
increased brain volume in 2-year-olds. A large multi- 78
center prospective at-risk infant MRI imaging study 79
is presently underway at the National Institutes of 80
Health that will greatly enlarge the data available, 81
by documenting changes in total brain and regional 82
volumes during the earliest postnatal development. 83

84 *Does accelerated head growth* 85 *have behavioral correlates?*

While Courchesne and colleagues (2003) found that 86
greater head size increase was associated with lower 87
ADI scores, Dementieva (2005) did not replicate this 88
correlation, finding that accelerated head growth, 89
whether or not it started at birth, was associated with 90
increases in several composite scores on the Vineland 91
Adaptive Behavior Scales. Elder and colleagues (2008) 92
found that large brain size at 12 months, followed by 93
a more marked slowing of head growth, predicted 94
the manifestation of autism symptoms. The impact 95
of body weight and length on head circumference 96

83: there was supposed to be a citation of IBIS, Natl Inst of Health, www.ibis-network.org (FN on line 49 of page 74 of these proofs)

1 has not been consistent across studies (Mraz et al.,
2 2007).

3 *What parts of the brain are driving* 4 *brain size increase?*

5 To understand brain enlargement in autism, it has
6 become important to understand the neuroanatomy
7 of autism in much more detail. Given that autism is
8 classified as a developmental disorder, theories about
9 a range of developmental disruptions that could lead
10 to this phenomenon have been advanced (Courchesne
11 & Pierce, 2005a, 2005b; McCaffery & Deutsch,
12 2005). One prominent model is that brain enlarge-
13 ment early in life is due to persistence of the early ex-
14 uberant proliferation of neurons in the developing
15 brain, presumably because of a failure of pruning,
16 or apoptosis. To understand what is happening
17 with these brains during development, and to assess
18 the merits of various models and hypotheses, it is
19 necessary to measure subcomponents of the brain, in
20 order to assess their respective contributions to this
21 enlargement. Volumetric or morphometric profiling
22 has been a major tool in getting this information, but
23 it has also revealed its own limits; there are questions
24 that can only be answered with other methodologies.
25 To address the brain size question, volumetrics has
26 been applied to quantifying sizes of large regions of the
27 brain, such as the cerebral cortex, white matter, or cer-
28 ebellum, and to comparing these measures between
29 autistic and control populations. It has also involved
30 measuring the whole brain and comparing propor-
31 tional volumes between groups.

32 *Gray matter and white matter* 33 *during development*

34 While the model of “failure of pruning” would predict
35 a larger cerebral cortex, data on cerebral cortex
36 volume are contradictory. One recent paper identified
37 increased cortical thickness (Hardan, Muddasani,
38 Vemulapalli, Keshavan, & Minshew, 2006), whereas
39 Hadjikhani and colleagues (2006) found that cortical
40 thickness of the right inferior frontal cortex was inversely
41 correlated with autism severity. Some of the differences
42 between cohorts seem to map in an age-dependent
43 fashion, suggesting that reconciliation of contradictions
44 may in part come from recognizing a developmental
45 process where volumetric trajectories are non-uniform
46 across brain regions. In early childhood there appears

47 to be an increase in both gray and white matter
48 volumes. Ben Bashat and colleagues (2007), using a
49 form of DTI imaging, measured an accelerated matu-
50 ration of white matter in 1.8–3.3-year-olds. In middle
51 childhood the data vary. Cerebral cortex has been
52 measured to be absolutely the same, but relatively
53 smaller, than controls in school-aged, high-functioning
54 autistic children (Herbert et al., 2003), but it has also
55 been measured to be larger in the same age group
56 (Palmen et al., 2005).

57 In a landmark study comparing cross-sectional
58 findings from a large number of subjects ranging in
59 age from 2 through 16 years, growth trajectories were
60 presented for cerebral and cerebellar gray and white
61 matter (Courchesne et al., 2001). Cerebral cortical
62 gray matter was 12% greater in 2–3-year-old autistic
63 subjects than in controls, but by middle childhood
64 (6–9 years of age), distinct trajectories had led to
65 different changes: the control volume had increased
66 12%, while the autistic group volume had decreased by
67 2%. Cerebral white matter also appears to have a differ-
68 ent growth trajectory in autistic individuals than con-
69 trol subjects. In the autistic subjects, it grew in a linear
70 fashion, starting out 18% larger in the 2–3-year-old
71 autistic group, but having a much flatter slope of
72 volume increase than in controls, and ending up in
73 adolescence being only 10% larger than in early child-
74 hood. In controls there was a 12% increase in volume
75 from the 2–3-year-old to the 6–9-year-old age group,
76 and a 59% increase compared to early childhood in
77 the adolescent group. Cerebellar white matter was,
78 dramatically, 39% larger in 2–3-year-old autistic chil-
79 dren than in controls; however, in the cross sectional
80 12–16-year-old adolescent comparison sample, cere-
81 bellar white matter was only 7% larger than in the
82 2–3-year-old autistic subjects, but 50% larger than in
83 early childhood in the comparison control sample.

84 *Distribution of white matter changes*

85 To address the question of whether the white-matter
86 changes were uniform throughout the brain or were
87 non-uniformly distributed in different subregions,
88 our own group utilized a white-matter parcellation
89 technique designed to subdivide the white matter
90 from volumetric images according to the white-matter
91 tract architecture (Makris et al., 1999; Meyer, Makris,
92 Bates, Caviness, & Kennedy, 1999). Although white-
93 matter tracts cannot be discerned or discriminated
94 utilizing the standard MRI acquisition techniques

1 used for volumetric imaging analysis, it is possible to
 2 identify their probable locations based on proximal
 3 visible gray matter landmarks. Using this technique, a
 4 division was made between outer (radiate), sagittal
 5 (long tracts such as those going from front to back),
 6 and descending tracts. This analysis revealed that
 7 high-functioning, autistic, school-aged children (from
 8 the same sample as Filipek et al., 1992) had enlarge-
 9 ments in radiate white matter in all four lobes as com-
 10 pared to controls, but no enlargements in the deeper
 11 white matter in either the sagittal or descending tracts.
 12 Interestingly, the developmental language disorder
 13 sample showed a similar radiate white-matter involve-
 14 ment, but to a lesser degree, and not involving the
 15 parietal lobe. This localization of enlargement is
 16 intriguing in light of the neurodevelopmental myelin-
 17 ation sequence: the radiate white matter myelinates
 18 late in development (late in the first and into the
 19 second postnatal year), with the prefrontal white
 20 matter myelinating last and for the longest duration.
 21 In this analysis, prefrontal white matter, a component
 22 of radiate white matter, showed the largest increase,
 23 being 36% larger in the autistic sample and 25% larger
 24 in the DLD sample than in controls; these marked
 25 increases suggest some kind of process affecting late-
 26 myelinating white matter, which approximately coin-
 27 cides with the period of postnatal brain enlargement
 28 described above.

29 *Corpus callosum*

30 If the overall white matter volume is larger in younger
 31 autistic children, and if this enlargement is non-
 32 uniform with the deep white matter not being involved,
 33 then is this also true for the corpus callosum, the major
 34 inter-hemispheric deep white matter structure? The
 35 answer is mostly yes. One research group found corpus
 36 callosum enlargement in autism, and this only in autistic
 37 individuals (as well as non-autistic individuals) with
 38 macrocephaly (Kilian et al., 2008). Most other research-
 39 ers have found the corpus callosum to be reduced in
 40 size, and a few reported no differences in size between
 41 the two groups (Rice et al., 2005). Within this size
 42 reduction there has been some variability between
 43 studies in localizing corpus callosum size changes; for
 44 example, using mid-sagittal area measures, Egaas and
 45 colleagues (1995) found reduction in the mid-sagittal
 46 area, while Hardan and colleagues (2000) found ante-
 47 rior reductions. Piven and colleagues (1997) found the
 48 body and splenium (posterior) to be smaller.

Additional methods have been utilized, and have 49
 yielded generally consistent findings. Using voxel- 50
 based morphometry, Waiter and colleagues (2005) 51
 found reductions in anterior splenium and isthmus, 52
 and using surface-based methods, Freitag and col- 53
 leagues (2009) discerned reduced posterior thickness. 54
 Vidal and colleagues (2006) showed that, while tradi- 55
 tional morphometric techniques discerned a reduction 56
 in total area and anterior third area, spatial maps 57
 discerned significant reduction in both splenium 58
 and genu. Recently, additional imaging methods 59
 have been applied, adding new dimensions to our 60
 understanding of corpus callosum changes in autism. 61
 Alexander and colleagues (2007) utilized DTI, and 62
 found that higher diffusivity and lower FA were associ- 63
 ated with slower processing speeds. Just and colleagues 64
 (2007) linked functional and anatomical connectivity 65
 measures, and found corpus callosum size reduction 66
 that correlated with a lower degree of integration of 67
 information. Mason and colleagues (2008) found a 68
 correlation between connectivity within the brain 69
 regions associated with Theory of Mind and the size 70
 of a portion of the anterior corpus callosum. 71

Asymmetry 72

Since the corpus callosum is critical to connections 73
 between the cerebral hemispheres, this area's altera- 74
 tions in autism might be expected to be associated 75
 with brain asymmetries that differ from those in neu- 76
 rotypical individuals. In autism spectrum disorders 77
 (ASD), abnormal brain asymmetries have been docu- 78
 mented at a variety of levels. At the macroanatomical 79
 level, deviations from normal asymmetries have been 80
 documented in localized regions (De Fosse et al., 81
 2004; Herbert et al. 2002; Hier, LeMay, & Rosenberger, 82
 1979; Rojas, Camou, Reite, & Rogers, 2005; Rojas, 83
 Bawn, Benkers, Reite, & Rogers, 2002), widely distrib- 84
 uted, volumetrically measured regions (Herbert et al., 85
 2005), metabolism (Burroni et al. 2008; Chandana 86
 et al., 2005; Chiron et al., 1995; Chugani et al., 1997), 87
 functional activation (Muller et al., 2004; Takeuchi 88
 et al., 2004), neurophysiology (Bruneau et al., 2003; 89
 Dawson et al., 1989; Flagg et al., 2005; Khalfa et al., 90
 2001; Lazarev, Pontes, & Deazevedo, 2008; Stroganova 91
 et al. 2007), and neurocognitive assessments (Dawson 92
 et al., 1986, 1988; Dawson, Warrenburg, & Fuller 93
 1983; Ozonoff & Miller, 1996). Architectonic asym- 94
 metries, such as in mini-columns, as has been identifi- 95
 ed in schizophrenia (Buxhoeveden et al., 2001), 96

1 have apparently not been investigated in ASD (Chance
2 et al., 2008). Brain asymmetry has been documented
3 in a fairly widespread distribution (Herbert et al.,
4 2005), and may involve a developmental trajectory
5 into adolescence (Flagg et al., 2005). This develop-
6 mental trajectory may be indirectly supported by the
7 finding by Herbert and colleagues (2005) of greater
8 cortical asymmetry in higher-order associational areas,
9 but not primary sensory and motor areas, since the
10 development of higher-order associational areas is
11 more experience-dependent than that of the primary
12 sensory and motor areas. Although right-hemisphere
13 dysfunction may predominate in autism (McKelvey
14 et al., 1995; Ozonoff & Miller, 1996;), it may not be
15 universal, but rather a marker for specific subtypes
16 distinguished by language impairment (De Fosse
17 et al., 2004; Whitehouse & Bishop, 2008).

18 *Magnetic resonance spectroscopy*
19 *and neuronal integrity*

20 If it is true that brain enlargement is due to a failure of
21 pruning, it should follow that there would be a greater
22 number of neurons in the cerebral cortex. The metabo-
23 lite N-Acetyl-aspartate (NAA), detectable by proton
24 magnetic resonance imaging (1H-MRS), is a measure
25 of neuronal integrity or neuronal function, and is
26 sometimes considered a measure of neuronal density.
27 Several 1H-MRS studies have been performed to test
28 this hypothesis, but their findings have contradicted
29 their predictions. Out of 22 magnetic resonance imag-
30 ing papers in the autism literature, 80 measures of
31 NAA were performed in all studied brain regions
32 combined; 25 found reductions in NAA, 1 found an
33 increase, and 54 showed no change. The lack of
34 change in the majority of measures may be in part a
35 reflection of 19 out of 22 of the studies having been
36 performed on a relatively low field-strength 1.5T
37 rather than a 3T magnet; 3T was used in only one
38 study to date (DeVito et al., 2007).

39 Despite the need for further studies at higher field
40 strength, it is worth noting that when differences were
41 found, the strongly predominant finding was of reduction,
42 rather than increase, of this metabolite. This
43 suggests either reduced neuronal density, a lower level
44 of neuronal functioning, impaired mitochondrial
45 function, or less elaborate neuronal architecture (e.g.
46 dendrites). It is also notable that in the epilepsy-
47 surgery literature, following surgical resection of epi-
48 leptic foci, there has been documented reversibility of

reduced NAA in secondarily affected brain tissue 49
(Hugg et al., 1996). Moreover, in the overall body of 50
autism spectroscopy literature in children, other 51
metabolites measured are lower rather than higher in 52
autism than controls, suggesting a lower rather than a 53
higher density of cells and metabolic components; 54
this matter is exceedingly well reviewed (Dager, 55
Friedman, Petropoulos, & Shaw, 2008). A problem 56
with drawing inferences from this literature is that a 57
number of spectroscopy studies in autism encompass 58
wide age ranges, some with cohorts ranging in age 59
from early childhood to adulthood; this may poten- 60
tially reduce the chance of detecting changes in 61
metabolites whose concentrations change during 62
development. 63

Diffusion tensor imaging, 64
transverse relaxation imaging, 65
and white matter integrity 66

If the prediction is correct that brain enlargement 67
is due to a failure of pruning, it should also follow 68
that there would be a greater density of axonal pro- 69
cesses emanating from the predicted larger number of 70
neurons. There are now a number of DTI papers with 71
findings related to the testing of this model; these are 72
summarized in Table 3-1. Almost all of the studies 73
showed either reduced FA, increased ADC, or both; 74
Ben Bashat and colleagues (2007), who studied the 75
youngest cohort, were also the only ones to utilize a 76
variant “high b value” diffusion tensor imaging study, 77
which is more sensitive than the more standard DTI 78
acquisition to diffusion in areas with high restriction; 79
it is unclear whether the difference between the find- 80
ings in the younger as compared with the older groups 81
is age- or methodology-related. 82

The short-range fibers investigated by Sundaram 83
and colleagues (2008) are quite consistent in distribu- 84
tion with the radiate white matter that was reported 85
as enlarged in Herbert and colleagues (2004), as 86
described above. Sundaram and colleagues note that 87
their findings of reduced FA and increased ADC, 88
which suggest reduced rather than increased white 89
matter integrity in this area, are not consistent with 90
the hypothesis that this white-matter volumetric 91
enlargement is composed of a larger number of 92
myelinated axons. Cheung and colleagues (2009) also 93
explicitly ponder how to reconcile lower FA with 94
greater white-matter volume, which they described 95
as counterintuitive. They suggest that white-matter 96

33:
citation
missing
at end of
sentence
: Shetty
et al.,
2009

Table 3-1 Diffusion Tensor Imaging Findings

Study	Subject characteristics	FA	ADC	Regions
Barnea-Goraly et al. (2004)	7 Aut 14.6±3.4 yo 9 TD 13.4±2.8 yo	-		Ventromedial prefrontal cortices, anterior cingulate gyri, temporal parietal sulcus, superior temporal sulci, near the amygdala bilaterally, in occipotemporal tracts and in the corpus callosum
Lee et al. (2007)	43 ASD 7-33 yo 34 TD 8-29 yo	-	+	Superior temporal gyrus, temporal stem
Thakkar et al. (2008)	12 ASD 30±11 yo 14 TD 27±2.8 yo	-		Right anterior cingulate cortex (associated with severity in repetitive behavior ratings)
Sundaram et al. (2008)	50 ASD 57.5 ± 29.2 months 16 TD 82.1 ± 41.4 months	-	+	All frontal fibers ADC: Mean ADC in ASD children was significantly higher FA: No significant differences but there was a trend to lower FA in ASD Long Range Association Fibers ADC: Mean ADC in ASD was higher FA : No changes Short Association fibers ADC: Mean ADC was higher in ASD than in TD FA: Mean FA was significantly lower in ASD Negative correlation between FA and GARS AQ and social isolation subscale . . . but not significant after Bonferroni correction
Cheung et al. (2009)	14 ASD 6-14 yo 14 TD 6-14 yo	-		<i>Reduced FA:</i> bilateral prefrontal and temporal regions, especially adjacent to the fusiform gyrus in the right ventral temporal lobe <i>Increased FA:</i> right inferior frontal gyrus and left occipital lobe Correlations of lower FA in subsets of these regions with higher ADI-R diagnostic algorithm subscale scores
Ben Bashat et al. (2007)	7 Autism (1.8-3.3 yo) 18 TD (4 months to 23 years)	+		Increased FA and probability and decreased displacement in left sides of all of the following: posterior limb of internal capsule, external capsule and forceps minor, corpus callosum genu, corpus callosum splenium and corticospinal tract

1 volumetric indices are rather nonspecific, and that
 2 given their findings, volume increase might even be
 3 due to non-neuronal proliferative processes, such as
 4 the activation and cell swelling of microglia and astro-
 5 glia that have been reported by Vargas and colleagues
 6 (2005), which will be discussed shortly. The finding
 7 that increased motor cortex white matter predicts
 8 motor impairment in autism, not enhanced motor
 9 performance (Mostofsky, Burgess, & Gidley Larson,
 10 2007), could conceivably be interpreted as consistent
 11 with these reflections on tissue underpinnings. These
 12 diffusion tensor imaging findings highlight the limita-
 13 tions of volumetric imaging: it is indeed true that a
 14 volumetric measure of increased white matter can by
 15 no means be attributed with any certainty to an
 16 increase in myelinated axons, since the imaging
 17 acquisition used for volumetric analyses simply mea-
 18 sures the size of the total white matter compartment,

and does not distinguish between different types of
 cells and materials that contribute to the volume. It is
 also important to remember here that increases or
 decreases in FA or diffusivity can only be considered
 consistent with, and not proof of changes in, tract or
 myelinated fiber density.

**Neuropathology: Minicolumns;
 neuroinflammation**

Two neuropathology findings of potential relevance to
 the phenomenon of brain enlargement in autism are
 altered minicolumns, and evidence of innate immune
 activation in postmortem tissue from individuals with
 autism. Minicolumns, a microscopic architectural
 feature of the brain, were described as being smaller
 but less compact in their cellular configuration
 using a number of methods (Casanova, Buxhoeveden,

1 & Brown, 2002; Casanova, Buxhoeveden, Switala, &
 2 Roy, 2002a, 2002b; This phenomenon is pertinent
 3 to interneurons that are an important part of the
 4 structure of minicolumns, which play a critical inhib-
 5 itory role in neuronal activity, and that thereby may
 6 alter functional connectivity. Casanova has proposed
 7 a relationship between minicolumnar alterations and
 8 structural connectivity—specifically, increased short-
 9 corticortical white matter, suggesting that larger brains
 10 require more white matter, and in particular short-
 11 range associational fibers, to maintain connectivity
 12 (Casanova, 2004). If, as recent DTI studies reviewed
 13 just above suggest, there is not in fact increased short-
 14 range associational fiber density, it is not obvious
 15 what implications this may have for the connectivity
 16 impacts of such minicolumns.

17 While neither MRI scans nor neuropathological
 18 investigations have identified focal patches of inflam-
 19 mation in postmortem brain tissue specimens from
 20 autistic individuals, neuropathological evidence of
 21 innate immune activation has been identified using
 22 specific staining techniques (Vargas et al., 2005). This
 23 immune activation was identified in the cerebral
 24 cortex, white matter, and cerebellum, and consisted
 25 of activated astroglial and microglial cells, as well as
 26 altered cytokine profiles. More recently, an increase
 27 in pro-inflammatory cytokines in brain tissue has been
 28 identified by other groups (Li et al., 2009; Morgan
 29 et al., 2010). A much larger number of studies have
 30 identified a range of systemic immune abnormalities
 31 (Ashwood & Van de Water, 2004; Ashwood, Wills, &
 32 Van de Water, 2006), although the specific details
 33 of the immune profiles are not identical between the
 34 central nervous system and the organism systemically.
 35 Innate immune activation is a prominent feature
 36 of a variety of neurodegenerative diseases such as
 37 Alzheimer's but it is not detectable by MRI scan
 38 or by other in vivo imaging techniques, other than
 39 through use of an experimental PET scan ligand,
 40 PK11195, which is no longer in use due to side effects.
 41 Inflammation can affect the neuroanatomical milieu
 42 due to the swelling that accompanies astroglial activa-
 43 tion, which may affect volume and may also compress
 44 capillary lumen by as much as 50% (Aschner, Allen,
 45 Kimelberg, LoPachin, & Streit, 1999), compromising
 46 blood perfusion (perfusion will be discussed below).
 47 Inflammation may also alter the neurochemical
 48 milieu by impairing the reuptake of glutamate and
 49 leading to excessive extracellular glutamate (Pardo &
 50 Eberhart, 2007), an excitatory neurotransmitter, which

51 may secondarily affect connectivity through increas-
 52 ing the excitation/inhibition ratio which could also
 53 have cascading developmental effects (see Chapter 4
 54 for a review of neurochemistry in autism).

55 The volume enlargement that may be related to
 56 swelling of immune-activated glial cells may also conceivably be related to DTI measures of reduced water diffusion and increased FA. Neuroinflammation and biochemical changes also belong to a set of factors that in the broader neuroscience literature have been shown to have some influence on brain asymmetry. A prediction of asymmetry can be made mathematically on the basis of efficiencies of cross-hemispheric communication, where lateralization becomes more efficient with larger brain size (Ringo, 1991; Ringo et al., 1994); however, this does not account for the specifically rightward predominance of this asymmetry. In the broader neuroscience literature, there are papers documenting an influence on brain asymmetry specifically toward rightward asymmetry from various visceral and regulatory factors; these include autonomic (Craig, 2005), neuropeptides (Ramirez, Prieto, Vives, de Gasparo, & Alba, 2004), gonadal steroids (Wisniewski, 1998), and immune (Kang et al. 1991; Shen et al., 2005; Wittling, 1995); but at present, although all these factors have some documented pertinence to autism, their connection to asymmetry in autism has not been pursued.

57 Cerebral perfusion abnormalities have been identified in at least 18 papers studying autistic cohorts. Cerebral perfusion refers to the quantity of blood flow in the brain. Abnormal regulation of cerebral perfusion is found in a range of severe medical conditions including tumors, vascular disease, and epilepsy. Cerebral hypoperfusion has also been found in a range of psychiatric disorders (Theberge, 2008). Of the 18 papers found in a recent literature search for positron emission tomography (PET) and single photon emission computed tomography (SPECT or SPET) studies of brain perfusion in autism or ASD, 15 were performed using SPECT, two with PET, and one with both SPECT and PET. Neurocognitive hypotheses and conclusions, as well as localization of perfusion changes, were heterogeneous across these papers. Hypoperfusion has been identified in frontal regions (Degirmenci et al., 2008; Galuska et al., 2002; George et al., 1992; Gupta & Ratnam, 2009; Ohnishi et al., 2000; Wilcox et al., 2002), temporal lobes (Boddaert et al., 2002; Burrioni et al., 2008; Degirmenci et al., 2008; Galuska et al., 2002; George et al., 1992; 100

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1 Hashimoto et al., 2000; Ohnishi et al., 2000; Ryu
 2 et al., 1999; Starkstein et al., 2000; Zilbovicius et al.,
 3 2000), as well as a variety of subcortical regions includ-
 4 ing basal ganglia (Degirmenci et al., 2008; Ryu et al.,
 5 1999; Starkstein et al., 2000), cerebellum (Ryu et al.,
 6 1999), limbic structures (Ito et al., 2005; Ohnishi
 7 et al., 2000), and thalamus (Ito et al., 2005; Ryu et al.,
 8 1999; Starkstein et al., 2000)—i.e., in a widely distrib-
 9 uted set of brain regions. It is interesting to note that
 10 even with this regional variation in localization, 17
 11 of the 18 publications showed that cerebral perfusion
 12 was *reduced*; in the only study reporting some areas of
 13 localized hyperfusion, these areas were found in the
 14 middle of the frontal pole and temporal lobe, which
 15 were more broadly hypoperfused (McKelvey et al.,
 16 1995). Only one study showed no difference in perfu-
 17 sion between autistic and control subjects (Herold
 18 et al., 1988). It is interesting to note that the variably
 19 located small white matter hyperintensities identified
 20 in a fair number of clinical scans, as noted earlier, may
 21 possibly be attributable to localized areas of hypoperfu-
 22 sion (Brickman et al., 2009). Possibly because
 23 virtually all of the autism perfusion studies studies
 24 were oriented toward testing neuropsychological
 25 rather than pathophysiological hypotheses, there were
 26 no probes or tests reported to unearth the tissue-level
 27 alterations that might be underlying these reductions
 28 in blood flow in these brains.

29 Regional findings

30 One of the lessons of the above explorations of the
 31 tendency toward large brain size in autism is that
 32 bigger is not always better. Large is easily assumed to
 33 be constituted by more neurons performing more
 34 neural processing in a usefully organized fashion—
 35 but this may not be the case. Another way of stating
 36 this is that it is not size, so much as function and
 37 mechanism, that determine impact. This lesson is
 38 pertinent not only in addressing the phenomenon of
 39 large brains, but also in making sense of the findings
 40 that have emerged in investigating regions of interest
 41 in the autistic brain. Region-oriented neuroanatomical
 42 studies in autism have been challenged by three
 43 major factors: the heterogeneity of findings across
 44 subjects and cohorts, the subtlety of the bulk of the
 45 findings, and the technical challenges involved in
 46 measuring subtle findings (e.g. the greater degree of
 47 error in measuring the volume of small-brain regions).
 48 Because of these challenges, more advanced imaging

49 techniques beyond volumetrics have increasingly
 50 been applied to extend the resolution and discernment
 51 of investigations.

52 Region-based investigations in autism are based
 53 both upon descriptive observations and on localized
 54 brain areas suggested by behavioral, communication,
 55 sensory, motor epileptic, immune-endocrine, and vis-
 56 ceral-system characteristics of autism. An early review
 57 by Damasio and Maurer, written before the vast bulk
 58 of neuroanatomical investigations in autism, is still
 59 cogent for its clinically based predictions of the loca-
 60 tion of anatomical involvement, as well as its reflec-
 61 tions on the reasons for, and potential causes of, this
 62 distribution of brain change (Damasio & Maurer,
 63 1978). These authors posited that autism arose from
 64 abnormalities in the mesolimbic structures associated
 65 with neurotransmitter imbalance that might be a
 66 consequence of perinatal viral infection, insult to the
 67 periventricular watershed area, or genetically deter-
 68 mined neurochemical abnormalities; they also posi-
 69 ted basal ganglia circuitry abnormalities based on the
 70 presence of gait and movement abnormalities. This
 71 set of inferences covers a broad range of possibilities,
 72 all of which—and more—are still under investigation
 73 today.

74 Limbic System

75 An obvious set of brain regions to consider as poten-
 76 tially implicated in autism neuroanatomy is the limbic
 77 system, given its role in emotional and social process-
 78 ing—functions that are so prominently atypical in the
 79 phenotype. Limbic cortical areas are an evolutionarily
 80 ancient set of structures, with a less differentiated
 81 cortical layering structure but a much denser set of
 82 interconnections than most other parts of the cere-
 83 bral cortex. The limbic area connections with multi-
 84 ple polymodal and premotor cortex regions as well as
 85 with subcortical structure (Barbas, 1995; Tucker,
 86 1992) and the robust connections of orbitofrontal and
 87 medial prefrontal areas to the amygdala (Ghashghaei
 88 & Barbas, 2002) allows these areas to address repre-
 89 sentations of experience which are less differentiated
 90 than those processed by primary sensory and motor
 91 cortex. Such a heavily connected set of regions might
 92 be preferentially vulnerable to underlying pathophysio-
 93 logical processes that impact connectivity. Certain
 94 infectious processes, such as herpes encephalopathy,
 95 also are known to preferentially impact some limbic
 96 structures.

1 *Neuropathology*

2 The importance of abnormalities in limbic systems
 3 was given early support by neuropathological identifi-
 4 cation of smaller and more tightly packed cells in the
 5 hippocampus, subiculum, entorhinal cortex, amygdala,
 6 mamillary body, anterior cingulate cortex, and septum.
 7 In the amygdala, the most significant increases in cell-
 8 packing density were noted in the medial, cortical,
 9 and central nuclei, whereas the lateral nucleus did
 10 not manifest this phenomenon (Bauman & Kemper,
 11 1994). A later study by this group identified differ-
 12 ences in hippocampal CA4 neurons in two cases of
 13 infantile autism, with smaller perikaryon area and less
 14 dendritic branching (Raymond et al., 1996). This
 15 finding could indicate a cellular basis for impaired
 16 information processing. A recent study by members of
 17 the same group identified reductions in the limbic
 18 system of γ -Aminobutyric acid – i.e. GABAergic sys-
 19 tems (Blatt et al., 2001; Guptill et al. 2006), but not of
 20 six other receptors studied (Blatt et al., 2001). Since
 21 GABA is an inhibitory neurotransmitter, a reduction
 22 in GABAergic systems could impact a range of func-
 23 tional domains vulnerable to excessive excitation,
 24 including sleep, anxiety, sensory processing, and sei-
 25 zures. A number of other neuropathological studies
 26 have not found amygdala or other limbic abnormali-
 27 ties (Bailey et al. 1998; Coleman et al., 1985; Guerin
 28 et al., 1996; Rodier et al., 1996; Williams et al. 1980).

29 *Neuroimaging*

30 In neuroimaging, the hippocampus and amygdala
 31 have been studied both separately and together.
 32 Neuroimaging is particularly challenged here not
 33 only due to the previously mentioned greater degree
 34 of error in measuring the volume of small brain
 35 regions, but also due to the difficulties, particularly in
 36 earlier imaging studies performed with older scanners
 37 and acquisition protocols, in making a clear delinea-
 38 tion between the two structures. The volumetric
 39 studies of these regions have not yielded consistent
 40 results. Piven and colleagues (1998) showed no differ-
 41 ence between 35 autistic and 36 control subjects in
 42 hippocampal volume. Saitoh (1995) and colleagues
 43 found no difference in the cross-sectional area of the
 44 posterior hippocampal formation between autistic
 45 and control subjects aged 6 to 42, but in a later study
 46 found smaller hippocampal volume by a measure of
 47 cross-sectional area of the area dentata, sibiliculum,

and CA1-CA3 in subjects 29 months to 42 years of 48
 age, with the smallest sizes noted in the youngest 49
 age group of 4 years and younger (Saitoh, Karns, & 50
 Courchesne, 2001). Alyward and colleagues (1999) 51
 found amygdala to be significantly smaller in non- 52
 retarded adolescents, with greater significance for 53
 absolute volume and lesser, but still significant, differ- 54
 ence when volumes were adjusted for the impact of 55
 total brain volume. Herbert and colleagues (2003) 56
 found a trend toward proportional reduction, while 57
 Schumann and colleagues (2004) found hippocam- 58
 pal volume to be increased on the right in low- 59
 functioning autistic children and adolescents, and 60
 bilaterally in high-functioning autistic children and 61
 adolescents. Findings of increased size were reported 62
 in several other studies using a variety of methods 63
 (Abell et al., 1999; Howard et al., 2000; Sparks et al., 64
 2002), with the last of these studies reporting a sub- 65
 group with proportional enlargement and another 66
 subgroup with greater than proportional enlargement. 67
 On the other hand, no amygdala volume differences 68
 were found by Haznedar and colleagues (2000). 69

A number of studies of amygdala volume have 70
 included suggestive correlations with other clinically 71
 pertinent variables. Schumann and colleagues (2004) 72
 showed an enlargement of the amygdala in children 73
 with autism ages 7.5–12.5 years, but not in adolescents 74
 as compared with controls; furthermore, whereas the 75
 amygdala at the start of the younger age range was 76
 already enlarged, in the control group it was smaller 77
 in the younger children and larger in the older chil- 78
 dren within that age group. Nacewicz and colleagues 79
 (2006) included psychological correlates in their 80
 measures of change with age in their 8- to 25-year-old 81
 subjects, showing an earlier and more pronounced 82
 increase in amygdala volume in those individuals 83
 who had normal eye fixation, but little difference in 84
 amygdala volume across the same age range in indi- 85
 viduals whose level of eye fixation was low (Nacewicz 86
 et al., 2006). 87

Nacewicz and colleagues (2006) also showed that 88
 a smaller amygdala was associated with slowness in 89
 distinguishing emotional from neutral expression 90
 and reduced fixation of eye regions, as well as greater 91
 social impairment in childhood according to ADI-R, 92
 a parent report measure. Juranek and colleagues 93
 (2006) found that anxious/depressed symptoms were 94
 significantly correlated with increased total and right 95
 amygdala volume, utilizing the Child Behavior 96
 Checklist. 97

Shape

1
 2 Shape measurement methodologies have provided
 3 another way to show differences between limbic
 4 structures in autistic, as compared to control, subjects.
 5 Several studies aimed at detecting hippocampal shape
 6 and thickness differences not detectable through
 7 standard volumetric approaches have recently been
 8 published. Dager and colleagues (2007) reported an
 9 inward deformation of the subiculum, accentuated in
 10 a more severe subgroup and associated with deficits in
 11 medial temporal lobe function but not in prefrontal
 12 function; this shape deformation had previously been
 13 reported in studies of medial temporal lobe epilepsy.
 14 Nicolson and colleagues used 3-dimensional surface
 15 meshes and discerned localized differences with right
 16 medial posterior hippocampus volume reduction, that
 17 might be consistent with specific abnormalities of the
 18 dentate gyrus or hippocampal CA1, CA3, or CA4
 19 regions (Nicolson et al., 2006).

Two papers by Kleinhans and colleagues (2007 & 48
 2009) have identified a correlation between metabolic 49
 and functional abnormalities utilizing MRS and func- 50
 tional MRI in the same subjects. In the 2007 study, 51
 five voxels were placed, including three in regions 52
 that were centers of activation in functional MRI in 53
 a verbal fluency task in healthy controls. NAA was 54
 lower in all regions, particularly the left frontal cortex. 55
 Among the behavior-neuronal integrity (i.e., MRS) 56
 correlations reported, there was a significant positive 57
 correlation between the amount of fMRI signal 58
 change in the autistic subjects, but not in the controls. 59
 In the 2009 study by Kleinhans and colleagues of 60
 high-functioning autistic and Asperger adults, there 61
 were no group differences in metabolites, but those 62
 autistic or Aspergers individuals with the lowest NAA 63
 or Cr (creatine/phosphocreatine) levels were found 64
 by ADI to have had the most significant clinical 65
 impairment in childhood (Kleinhans et al., 2009). 66

MRS

20
 21 Proton magnetic resonance spectroscopy has been
 22 used to investigate underlying tissue changes in
 23 the amygdala-hippocampal region. There have been a
 24 number of findings, but the methodologies are not
 25 consistent, which as mentioned above is a function of
 26 the restriction of MRS to specific regions rather than
 27 whole brain, and the wide range of variability in voxel
 28 placement that exacerbates the differences produced
 29 by disparities in cohort phenotypes, cohort age, and
 30 imaging acquisition methodologies. The most consis-
 31 tent finding was lower n-acetyl-aspartate (NAA) (17
 32 out of 49 measures, with 41 showing no change),
 33 and NAA/creatine ratios (4 out of 14 measures, with
 34 10 showing no change) (Shetty et al., 2009). Endo
 35 and colleagues (2007) examined this with neuro-
 36 psychological correlates, and found lower NAA/Cr
 37 ratios in the right medial temporal lobe particularly
 38 pronounced in the autistic, as compared with
 39 PDD-NOS and control, groups, which correlated
 40 with their performance on the Childhood Autistic
 41 Rating Scale-Tokyo Version. Additional findings
 42 include higher glutamine and creatine/phosphocreatine
 43 in the amygdala-hippocampus than in the parietal
 44 lobe (Page et al., 2006), an increase in myo-inositol/
 45 creatine in the amygdala-hippocampus as well as cere-
 46 bellum, and an increase in choline/creatine in left
 47 hippocampus and left cerebellum (Gabis et al., 2008).

DTI 67
 Diffusion tensor tracking methodologies have been 68
 utilized to study hippocampal-fusiform and amygdala- 69
 fusiform pathways (Conturo et al., 2008). In this 70
 methodology the measures were subtle, with the 71
 macrostructural measures (pathway volume, length, 72
 and area) sensitive at the millimeter scale and the 73
 microstructural measures (maximum and minimum 74
 diffusion coefficients) sensitive at the micron scale; 75
 these measures clearly go beyond the sensitivity or 76
 resolution of volumetrics. The main finding, noted to 77
 be worse in individuals with poorer face recognition 78
 or lower IQ scores, was a micron-scale reduction in 79
 the diffusion perpendicular to the axis of the white- 80
 matter tracts in right hippocampal-fugal pathways. 81
 In contrast, there were no detectable macrostructural 82
 abnormalities, which led the authors to suggest that 83
 the appropriate “machinery” is in place, but its opera- 84
 tions are abnormal—in essence inferring that their 85
 findings point to underlying mechanisms of autism 86
 that are functional rather than structural. 87

Cerebellum

88
 Studies of the cerebellum have been motivated by 89
 a combination of empirical observations of abnormal- 90
 ities and a growing body of literature implicating 91
 the cerebellum not only in motor coordination, but in 92
 a range of cognitive, affective, and language functions 93

1 highly pertinent to autism (Schmahmann, 2004;
2 Schmahmann & Caplan 2006; Schmahmann &
3 Pandya, 2008).

4 Early neuropathological studies indicated abnormal-
5 ities in the cerebellum. These included a reduction
6 in the number of Purkinje cell alterations in
7 cerebellar nuclei (Bauman & Kemper, 1996). Of the
8 two dozen postmortem cases of autism in the litera-
9 ture, 19 showed decreased density of Purkinje cells
10 (Amaral, Schumann, & Nordahl, 2008). Whether
11 this is due to a loss of cells, or to an alteration of cell
12 functions or properties affecting their binding to histo-
13 pathological stains, has not been clarified. A recent
14 study suggests that the latter might be contributory.
15 This study used a different staining technique; whereas
16 prior studies had used Nissl staining, here calbindin
17 was chosen because it is a more reliable marker for
18 Purkinje cells. When this method was used, no group
19 differences between autistic and control tissue samples
20 were found in the density of Purkinje cells, with three
21 of the six showing normal density, and the other three
22 showing reduced density, but no correlation of density
23 reduction with severity of autism (Whitney, Kemper,
24 Bauman, Rosene, & Blatt, 2008). The poor uptake of
25 Nissl stain by Purkinje cells in tissue from individuals
26 with autism might nevertheless have some signifi-
27 cance, in that it could indicate impaired function of
28 these cells, such as chromatolysis (a depletion of somatic
29 rough endoplasmic reticulum) related to chronically
30 diseased and weakened cells during life.

31 More recently, the same group has reported a
32 series of microanatomical findings pertinent to the
33 functional features of cerebellar circuitry. A 40%
34 reduction in the level of glutamate decarboxylase
35 67 isoform (GAD67) was identified in Purkinje cells,
36 suggesting that these cells were contributing less
37 inhibitory input to neural circuitry, presumably lead-
38 ing to a net gain in excitation (Yip, Soghomonian, &
39 Blatt, 2007). Interestingly, upstream of Purkinje cells,
40 there appear to be alterations in cerebellar basket and
41 stellate cell interneurons also related to the balance of
42 excitation and inhibition: an upregulation of GAD67
43 in basket cells was identified, and in the same study a
44 trend toward a small increase was also noted in stellate
45 cells. This seems to suggest an increased feed-forward
46 inhibition to Purkinje cells whose inhibitory GAD67
47 production is already reduced. This yields a combina-
48 tion of mutually reinforcing alterations: upstream cells
49 are inhibiting the Purkinje cell, lessening its inhibi-
50 tory functioning, and at the same time the Purkinje

cell itself is also showing reduced intrinsic contribution
51 to inhibitory circuitry. The net result is a loss of inhibi-
52 tion, reducing the denominator in the excitation/
53 inhibition ratio and thereby increasing net excitation.
54 This is consistent with a widely discussed model of an
55 increase in the ratio of excitation to inhibition as
56 underlying autism (Levitt, Eagleson, & Powell, 2004;
57 Rubenstein & Merzenich, 2003). Kern has argued
58 that Purkinje cell loss or dysfunction could result from
59 injury and not necessarily developmental derange-
60 ment, and presents literature evidence that Purkinje
61 cells are selectively vulnerable to ischemia, hypoxia,
62 excitotoxicity, G protein dysfunction, viral infections
63 (e.g. thiamine), heavy metals, various toxins, and
64 chronic malabsorption syndrome (e.g. celiac disease,
65 inflammatory bowel disease; Kern, 2003). 66

67 There have been a variety of other cerebellar neu-
68 ropathological findings. Abnormalities in the cerebel-
69 lar cholinergic system have been identified. Lee and
70 colleagues identified a reduction in the high-affinity
71 $\alpha 4$ receptor in the granule cell, as well as the Purkinje
72 and molecular layers, with a possibly compensatory
73 increase in the $\alpha 7$ receptor subunit that was significant
74 in the granule cell layer (Lee et al., 2002). The above-
75 mentioned Vargas study identifying innate immune
76 activation (including microglial and astroglial activa-
77 tion and proinflammatory cytokines) in the brains of
78 individuals with autism found that the cerebellum
79 was a main focus of this neuroinflammation (Vargas
80 et al., 2005). It has also been noted that serum from
81 children with ASD contains autoantibodies to specific
82 cells in the cerebellum (Wills et al., 2009), and that in
83 animal models of mid-gestation respiratory infection,
84 postnatal cerebellar pathology in the offspring resem-
85 bles that observed in autism (Shi et al., 2009).

Vermis area 86

87 The vermal lobules are midline structures in the
88 cerebellum, the areas of which can be measured by
89 tracing their outline on a single mid-sagittal section of
90 a scan. An early report of cerebellar vermal lobules
91 VI-VII hypoplasia (Courchesne et al., 1988) was
92 followed by a substantial number of further papers
93 reporting measurements of this area. These have
94 been reviewed and debated in detail elsewhere
95 (Courchesne, 1999; Courchesne, Townsend, &
96 Saitoh, 1995; Filipek, 1995; Piven & Arndt, 1995;
97 Piven et al., 1999). While some of the papers
98 replicated the findings of hypoplasia, other did not.

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1 Smaller cerebellar vermal lobules VI-VII were found
 2 in several studies (Courchesne et al., 1988; Gaffney
 3 et al., 1987; Murakami et al., 1989; Saitoh et al.,
 4 1995), while some later studies discerned a subgroup
 5 with an area increase (Courchesne et al. 1994).
 6 However, several other studies found no difference in
 7 cerebellar vermal lobules (Elia et al., 2000; Filipek
 8 et al., 1992; Garber & Ritvo, 1992; Holttum et al.,
 9 1992; Kleiman, Neff, & Rosman, 1992; Nowell et al.,
 10 1990; Piven et al. 1992, 1997; Rumsey & Hamburger,
 11 1988). It was also argued that vermal hypoplasia was
 12 found in a number of other neurodevelopmental dis-
 13 orders, and therefore was not specific to autism
 14 (Ciesielski & Knight, 1994; Schaefer et al., 1996).

47 due to an increase in cerebellar white matter. The
 48 above-mentioned neuroinflammation in the cerebel-
 49 lum (Vargas et al., 2005) was prominent in the cere-
 50 bellar white matter. In the only study in which this
 51 was measured, cerebellar white matter was as much as
 52 39% larger in autism than in controls aged 2 to 4 years
 53 (Courchesne et al., 2001). Boddaert's group found
 54 a mean decrease in the voxel-based morphometry
 55 measure discussed above of cerebellar "white matter
 56 concentration" in 21 school-age children with autism
 57 (Boddaert et al., 2004), though this measure cannot
 58 be mapped onto volume measurements, as it is a
 59 metric of different physical properties, and a metric of
 60 reduced density cannot distinguish between increased
 61 water and reduced size.

15 *Volume*

16 Cerebellar volume is a less frequently performed mea-
 17 sure because it requires assessment of slices through-
 18 out the entire structure (which in the earlier days of
 19 MRI scans was visualized with poor resolution); when
 20 performed it has generally yielded increased volume
 21 in autism, although to different degrees. Two studies
 22 (Piven et al., 1997; Sparks et al., 2002) showed cere-
 23 bellar increase proportional to increased total brain
 24 volume. Herbert and colleagues (2003) similarly
 25 found that total cerebellar volume was greater in
 26 autism than in controls, but not different after adjust-
 27 ment for total brain volume; this finding was in the
 28 same set of brains where earlier analysis had found no
 29 difference in midline vermal lobule VI-VI areas
 30 (Filipek et al., 1992). However, Hardan and colleagues
 31 (2001) found cerebellar volumes to be both relatively
 32 and absolutely larger. Using voxel-based morphome-
 33 try, the metrics of which (as discussed in the earlier
 34 methodology section) are not directly comparable to
 35 those used in the above studies, Abell and colleagues
 36 (1999) also found increased gray matter volume bilat-
 37 erally in the cerebellum. Cerebellar volume increase
 38 was not found in a comparison of autistic subjects
 39 with and without macrocephaly to normocephalic
 40 and macrocephalic typically developing individuals
 41 (Cleavinger et al., 2008).

42 *Cerebellar white matter*

43 Improvements in posterior fossa resolution have more
 44 recently allowed the segmentation of cerebellar gray
 45 and white matter; this measure has led to findings sug-
 46 gesting that overall cerebellar volume increase may be

Thalamus

62 The thalamus is a critical relay station in brain infor-
 63 mation processing and is of great potential interest in
 64 autism research, due to its central role in connectivity,
 65 coordination, and sensory modulation. Abnormalities
 66 in the thalamus have been found by various measures.
 67 Volumetric findings are not entirely consistent. One
 68 study showed reduced thalamic volume relative to total
 69 brain volume (Tsatsanis et al., 2003), while another
 70 showed proportional increase in thalamic volume that
 71 lost significance when adjusted for overall brain size
 72 (Herbert et al., 2003); a third study showed a lack of
 73 linear relationship between the volumes of thalamus
 74 and brain (Hardan et al., 2006). Decreased "gray
 75 matter concentration" was found in the thalamus
 76 using voxel-based morphometry (Waiter et al., 2005).
 77 Perfusion abnormalities have been found by SPECT
 78 (Ito et al., 2005; Ryu et al., 1999; Starkstein et al.,
 79 2000) and by PET (Haznedar et al., 2006; Horwitz
 80 et al. 1988). MRS has shown reduced NAA in thala-
 81 mus (Friedman et al., 2003). Hardan and colleagues
 82 investigated the possibility of a relationship between
 83 metabolic and functional abnormalities in the
 84 thalamus; their findings included lower levels of
 85 N-acetylaspartate (NAA), phosphocreatine and creatine,
 86 and choline-containing metabolites in the left
 87 thalamus autism group, compared with controls even
 88 in the absence of volumetric differences, and some
 89 limited relationships between questionnaire measures
 90 of sensory abnormalities and proton MRS metabolites
 91 (Hardan et al., 2008). Nicotinic abnormalities in
 92 the cholinergic system in the thalamus have been
 93 identified in autism (Ray et al., 2005). Brain-specific
 94

1 antibodies to proteins in the thalamus and hypothala- 12
 2 mus have been identified in plasma from a subset of 13
 3 children with autism (Cabanlit et al., 2007). 14

4 Basal Ganglia

5 The basal ganglia are of potential interest in autism 15
 6 because of their contributions to movement and 16
 7 movement abnormalities such as tics and stereotypies, 17
 8 as well as for to the role they play in motivation, repet- 18
 9 itive behaviors, and obsessiveness. These regions have 19
 10 been investigated using volumetrics, spectroscopy, 20
 11 perfusion measures, immune measures, and animal 21

models, with findings summarized in Table 3-2. 12
 MacFabe and colleagues (2007) identified histopatho- 13
 logical abnormalities and electrophysiological spiking 14
 in the caudate associated with tics in an animal model 15
 of environmentally induced autistic-like behaviors 16
 (MacFabe et al., 2007). A relationship has been 17
 identified of larger caudate volume at times of epi- 18
 sodes of symptoms of PANDAS (an acronym for 19
 Pediatric Autoimmune Neuropsychiatric Disorders 20
 Associated with Streptotoccus)(Giedd et al., 2000), 21
 but there is no direct evidence of an analogous 22
 immune-infectious syndrome with brain volume in 23
 the case of autism. 24

Table 3-2 Basal Ganglia Findings

<i>Study</i>	<i>Subject characteristics</i>	<i>Measure</i>	<i>Regions</i>
Hardan et al., 2003	40 Aut Non-MR, 41 TD 8-45 yo	Volume Motor	Weaker motor functioning in autistic group not accompanied by basal ganglia volumetric differences
Hollander et al., 2005	18 ASD, 17TD 17-57 yo	Volume ADI	Larger right caudate volume Correlation of total caudate and putamen volumes with repetitive behaviors on the ADI-C subscale
Haznedar et al., 2006	17 ASD, 17 TD 17-56 yo	Volume ADI Glucose metabolism	Greater right caudate volume with reduced glucose metabolism in the ventral caudate
Rojas et al., 2006	24 Aut, 23 TD 7-44 yo	VBM	Enlarged caudate nucleus
Langen et al., 2007	Sample 1: 21 HFA, 21 TD, 10-14 yo Sample 2 21 HFA, 21 TD, 15-24yo	Volume	Increased caudate volume associated with repetitive behaviors Caudate changes localize to head of caudate Caudate grew bigger over time in autism but decreased in volume over time in controls
Degirmenci et al., 2008	10 Aut, 6.7±1.7 yo; 5 TD, 6.4±1.4 yo Relatives: (8 mothers, 39± 4 yo; 8 fathers 36 ± 5 yo; 7 siblings, 13 ± 5 yo Controls for Relatives: Parents: 5M, 5F, 37±3 yo 22 controls for siblings, 5.4-15.7yo	SPECT	Reduced right caudate perfusion Other findings reviewed in section on perfusion
Levitt et al., 2003	22 Aut + ASD 5.4-15.7 yo 20 TD 6.8-16.3 yo	MRS	Creatine/Phosphocreatine ratio higher in head of right caudate but lower in body of left caudate
Singh and Rivas, 2004	68 Aut, 4-12 yo 30 TD, 5-12 yo	Immuno- blotting	Serum antibodies to caudate nucleus in 49% of subjects studied
MacFabe et al., 2007	74 adult male Long-Evans	Animal model	Animal model of environmentally induced autistic features using propionic acid Histopathological abnormalities and caudate spiking associated with tics

Cerebral lobes

2 While a growing body of functional imaging evidence
3 suggests that the connectivity between frontal lobes
4 and other parts of the brain is particularly affected
5 in autism, this literature is beyond the scope of the
6 present neuroanatomical review (see Chapter 21).
7 What insights into lobar anatomy have emerged from
8 anatomical investigations? The substantial impact
9 upon frontal lobe volumes of overall brain enlarge-
10 ment has already been reviewed above (Carper et al.,
11 2002; Herbert et al. 2004). Frontal lobe volume has
12 been measured as inversely correlated with cerebellar
13 volume (Carper & Courchesne, 2000), and localized
14 enlargement of the frontal lobes early in autism
15 development has been noted (Carper & Courchesne,
16 2005). Orbitofrontal cortical (OFC) gray matter
17 volume was measured as reduced in the right lateral
18 OFC, and correlations between social deficits and
19 white matter OFC structures were observed.

20 Temporal lobe involvement has also been noted.
21 Superior temporal lobe abnormalities have been noted
22 in a number of studies (Boddaert et al., 2004; Herbert
23 et al., 2002). While Lainhart's group did not observe
24 overall differences in temporal lobe volume (Bigler
25 et al., 2003), they measured abnormalities in the left
26 fusiform gyrus, the right temporal stem, and the right
27 inferior temporal gyrus gray matter, with similarities
28 noted between subjects with autism and subjects with
29 reading difficulties (Neeley et al., 2007). White matter
30 microstructure was also noted to be abnormal in these
31 subregions of the temporal lobe (Lee et al., 2007).
32 Reduced left planum temporale volume (Rojas et al.,
33 2002) and lack of normal planum temporale asymme-
34 try (Rojas et al., 2005) have been measured.

35 Parietal lobe involvement has been variable, with
36 reductions noted in a subgroup (Courchesne, Press, &
37 Yeung-Courchesne, 1993) and in parietal white matter
38 (Ke et al., 2008), enlargement noted by others (Carper
39 et al., 2002; Herbert et al., 2004; Piven et al., 1996), and
40 lack of difference by yet others (Hazlett et al., 2006).
41 Occipital lobe changes have not been strongly observed,
42 perhaps because of an apparent anterior-to-posterior gra-
43 dient in the degree of hyperplasia (Carper et al., 2002).

SUMMARY AND CONCLUSION

44 Overall, we clearly have a massive amount of evidence
45 that there are differences in anatomy between autistic

47 and non-autistic individuals. However, these differ-
48 ences are not always consistent, and while there are
49 many potential contributors and explanations, it is not
50 always clear specifically how to account for the inconsis-
51 tencies. Developmental trajectory undoubtedly
52 plays a role in total brain volume and in the volumes
53 of regions such as the caudate and the amygdala.
54 Technical issues may complicate measures, such as
55 the greater risk of error involved in quantifying vol-
56 umes of small regions. Imaging analysis methods
57 may yield somewhat different boundaries between
58 laboratories, and regional naming conventions may
59 be idiosyncratic and inconsistent across sites. A study
60 may have hypothesis-driven reasons for focusing on a
61 subset of regions, and may give the impression that the
62 findings they report are localized, when in fact a more
63 broadly focused study of the same sample might iden-
64 tify similar findings in other regions as well. For exam-
65 ple, Herbert and colleagues (2002) reported altered
66 brain asymmetry in language-associated regions;
67 however, using the same set of brains but performing
68 a whole-brain analysis, they reported a much more
69 widespread set of asymmetry alterations (Herbert
70 et al., 2005). There is also the issue of heterogeneity in
71 autism. It is by now clear that it is possible to meet the
72 behaviourally defined criteria of "autism spectrum
73 disorders" through a wide range of underlying biologi-
74 cal challenges to brain function. It is likely, for exam-
75 ple, that brain connectivity may be challenged by
76 a variety of different underlying mechanisms (e.g.,
77 various gene variants affecting synapses, various
78 environmental challenges reducing mitochondrial
79 efficiency at synapses, astroglial activation that com-
80 promises the quality of tripartite synapse function, and
81 much more). Overall, this combination of inconsis-
82 tent findings, poorly understood impact of develop-
83 ment, methodological differences between labs and
84 underlying heterogeneity undoubtedly contributes to
85 the challenge of putting together a coherent picture of
86 autism neuroanatomy.

87 An additional potential contributor to the hetero-
88 geneity of anatomical (and other) findings in autism is
89 the nature of the impact of environmental or physio-
90 logical factors, such as immune alterations, infectious
91 exposures, and toxic exposures, upon the brain. These
92 factors may alter cellular functioning in a fashion that
93 is either weakly or not at all targeted to specific regions,
94 and whose localization may thus be somewhat acci-
95 dental rather than necessarily revealing of regional
96 alterations intrinsic to autism. This (along with the

1 region-restricted focus of some of the studies) may
 2 contribute to explaining the greatly distributed local-
 3 ization of perfusion abnormalities in autism, even while
 4 almost every study reported that perfusion is reduced.
 5 Reduced perfusion is what would be expected in the
 6 setting of immune alterations or infectious or toxic
 7 exposures, given their impact on glial cells, membrane
 8 and transport function, and various other physiological
 9 factors. Such changes could further alter synaptic,
 10 oscillatory, and connectivity dynamics in a way that
 11 would change neural systems function. This set of
 12 considerations raises the possibility that localizations of
 13 anatomical and neural systems dysfunctions may be
 14 downstream of pathophysiological impacts, rather than
 15 direct outcomes of regionally targeted causal factors.

16 Despite all of these potential contributors to het-
 17 erogeneity and inter-study inconsistencies, there are a
 18 number of anatomical findings that are consistent
 19 across at least a preponderance of studies. Brain size
 20 (head circumference, brain volume, brain weight) is
 21 on average larger in younger subjects, with an intriguing
 22 developmental trajectory, while the corpus callo-
 23 sum is not enlarged proportional to overall enlargement
 24 and is mostly measured as smaller. There is involve-
 25 ment in the cerebellum, limbic system, basal ganglia,
 26 thalamus, and white matter, though the specific char-
 27 acter of this involvement varies markedly across studies
 28 and age groups. Perfusion is lower, though the distri-
 29 bution of this perfusion has varied in measures to date.
 30 Metabolic and diffusion tensor imaging measures
 31 mostly suggest some kind of reduction in density of
 32 metabolites and in fiber integrity. Seen together, this
 33 picture, even with all its remaining loose ends, still
 34 represents a substantial advance over what was known
 35 a decade ago, and a stronger pace of progress in the
 36 next decade can be reasonably expected.

37 The comprehensiveness of this discussion is
 38 limited by its restriction to physical anatomy. A fuller
 39 picture would come from integrating the structural
 40 and functional advances being made in the field, but
 41 for now that will need to be done by the reader in
 42 relation to other chapters in this volume. The fact that
 43 we are seeing growing number of studies integrating
 44 measures of volume, metabolism, or perfusion with
 45 measures of functional activation suggests that we are
 46 getting more systematic in probing for the pathophysio-
 47 logical mediators of neuropsychological dysfunction.
 48 Pathophysiology is a critical and active intermediary.
 49 As DTI, MRS, and perfusion imaging are more widely
 50 utilized, as neuropathology advances, and as more

multimodal studies are performed, a more integrated
 set of empirically grounded linkages across the levels
 of autism will begin to emerge.

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